

1 **Calcific Tendinopathy of the Rotator Cuff Tendons**

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19

20 **Abstract**

21 Calcific tendinopathy (CT) of the tendons of the rotator cuff (RC) is common in Caucasian
22 populations, with a reported prevalence varying from 2.7% to 22%, mostly affecting women
23 between 30 and 50 years. Although CT shows a strong tendency toward self-healing by
24 spontaneous resorption of the deposits, it does not always follow this typical pattern. The
25 aetiopathogenesis of calcific tendinopathy is still unknown. Many pathogenetic theories have been
26 proposed, and clinical associations between CT and diabetes and thyroid disorders have been
27 reported. The choice of therapeutic approach should depend on the evolution of the condition.

28

29 **Keywords:**

30 Calcific Tendinopathy, Calcific Deposits, tendons, Review.

31

32 **Epidemiology**

33 Calcific tendinopathy (CT) of the tendons of the rotator cuff (RC) is common in Caucasian
34 populations, with a reported prevalence varying from 2.7% to 22%, mostly affecting women
35 between 30 and 50 years.^{1,2,3} In 10% of patients, the pathology is bilateral.^{1,4,5} The supraspinatus
36 tendon is the most common site of occurrence: usually the deposits are located 1.5–2.0 cm away
37 from the tendon's insertion on the greater tuberosity.⁶ French authors reported the presence of the
38 calcific deposits in the supraspinatus tendon of 76%, in the infraspinatus tendon of 20%, while the
39 subscapularis tendon in 6% of patients with CT.⁷ Others authors reported 66% of calcific deposits in
40 the supraspinatus, 17% in the infraspinatus, and 17% in the subscapularis.⁸

41 Although CT shows a strong tendency toward spontaneous resorption of the deposits, it does not
42 always follow this typical pattern, and this natural cycle may become blocked at any point. For
43 instance, a symptomatic deposit may persist until it results in a tendon tear. According to an
44 arthrographic study, a rotator cuff tear may coexist in approximately 25% of patients presenting
45 with CT.⁹ The choice of therapeutic approach should depend on the evolution of the condition.

46 **Historical review**

47 Calcific tendinopathy is known under many names, including calcifying tendinitis, calcific
48 tendinitis, calcified tendinitis, calcareous tendinitis, tendinosis calcarea, calcific peri arthritis,
49 periarticular apatite deposit¹⁰. Some of the above terms emphasise the extra-articular location of the
50 calcium deposit, others mention the nature of the compound found in the calcification. The more
51 recent ones emphasise the active process that might explain the deposition, but all of them engender
52 some confusion. In 1907, Painter was the first to describe the radiographic findings in patients with
53 calcific tendinopathy.¹¹ Codman, in the 1930s, proposed that degeneration of tendon fibers preceded
54 calcifications.¹² In 1941, the prevalence among asymptomatic individuals was reported to be 2.7%
55 by Bosworth based on a sample of 6061 volunteers from an insurance office.¹ In 1978, Bateman
56 observed the calcific deposits in a typical area of hypovascularity, close to the tendon attachment
57 named “zone of stress”.¹³ More recently, Uthoff and Loehr proposed a progressive reactive

58 calcification process to describe the disease cycle.⁶ In 1902, Harrington and Codman performed the
59 first reported operative removal of a calcific deposits.¹² In 1987, Ellman first described his removal
60 arthroscopic technique followed by acromioplasty.¹⁴

61 **Clinical features**

62 During the deposition of calcium, patients may be pain free or mild symptomatic, and often if the
63 pain worsens it is associated with resorption of the calcific deposits. However, the reason of the
64 association of calcium deposition with the pain is still unknown.⁶ Four different clinical
65 presentations has been described^{3,15,16}:

66 1) Acute form: it is characterized by severe pain, tenderness and functional disabilities, generally
67 for 1 to 6 weeks; 2) Chronic recurrent form: it is characterized by the alternating of pain and well-
68 being. It may occur as such, without being preceded by an acute painful bout. Generally, it persists
69 for 6 weeks to 6 months; 3) Persistent chronic form: it is characterized by presence of constant dull
70 pain, with no phases of remission or exacerbation, which occurred for more than 6 months; 4)
71 Totally asymptomatic deposits.

72 According to Bosworth and coworkers, clinical symptoms occur in 34% to 45% of patients in that
73 calcifications are found.¹ In a prospective study evaluating 1276 asymptomatic shoulders, the
74 prevalence of RC calcification was 7.3%.⁷ Clinical evolution of CT of RC often resolves
75 spontaneously. Some authors have described a different disease course with a longer duration of
76 painful symptoms, and a reduction in the range of motion.^{17,18} Wittenberg et al¹⁹ and Chan et al¹⁷
77 have attributed such poorer outcomes to cortical erosion. Osteolysis of the greater tuberosity is an
78 uncommon, frequently misdiagnosed and distinctive form of CT of the shoulder, associated with
79 significantly lower clinical and functional outcome both before and after surgical treatment.²⁰ Chen
80 et al described 32 patients with adhesive capsulitis associated with calcific tendinopathy of the
81 supraspinatus.²¹

82 Unfortunately there is no widely accepted clinical classification of the CT, and most clinical studies
83 published do not identify the precise clinical phase when patients involved in the studies have been
84 recruited.

85 **Imaging evaluation**

86 Anteroposterior, internal, external rotation and outlet view plain films are usually sufficient to
87 diagnose CT of the shoulder, and they should be performed routinely. Various classifications based
88 on the size of the deposits on radiographs, stage of the disease process and its morphological
89 appearance has been proposed. These classification systems may guide management, and should
90 ensure that therapy is targeted according to the individual and to the stage of the disease. Four
91 classifications have been reported. The classification by De Palma and Kruper⁴ correlates the
92 morphology of the calcified deposit on the rotator cuff tendons with both the patient's clinical
93 situation and the stage of the disease. The French Arthroscopic Society classification²³ is based on
94 the radiographic appearance of the calcium deposits. Patte-Goutallier²⁴ and Gartner-Simons²⁵
95 classifications concern only the morphological aspect of calcific deposits (Table I).

96 Ultrasound (US) is as sensitive as plain radiography to identify the calcific deposits.²⁶ US Doppler
97 imaging is useful to predict the evolution of CT²⁷, but few studies have assessed the correlation
98 between US findings and clinical expression in routine practice. Recently Le Goff,²⁸ confirming
99 results reported by Chiou,²⁷ showed that positive power Doppler signal within the calcific deposits
100 were strongly associated with pain, and that the combination of US and power Doppler scans
101 provides useful information on the likelihood of the calcification being responsible for pain.
102 Magnetic resonance imaging evaluation is not routinely indicated, but it could be useful to evaluate
103 associated RC tears²⁹ (Fig. 1) and for CT with osteolysis of the great tuberosity.²⁰

104 **Aetiopathogenesis**

105 The aetiopathogenesis of CT is still unknown, especially because it remains difficult to clarify the
106 first steps which induce calcium crystals deposition in the RC. These processes may well require
107 several months, and biopsies of the pathologic cuff tendons are obtained only towards the end of the

108 natural history of this disease, during the resorptive phase³⁰ and when patients are symptomatic.
109 Two different theories have been developed. Codman tried to explain the pathogenetic process of
110 CT. He proposed that the degeneration of RC tendons caused by overuse or aging is the first step
111 which precedes calcifications.¹² Sandstrom³¹ speculated that the degeneration of the tendon fibers
112 was secondary to local ischemia which favoured deposition of calcifying material. Recently, two
113 boys aged three³² and 13³³ with CT have been reported: CT is difficult to explain in the paediatric
114 age group. Mohr and Bilger described the process as beginning with the necrosis of tenocytes with
115 concomitant intracellular accumulation of calcium, often in the form of microspheroliths or
116 psammomas.³⁴

117 After those authors, Uthoff and coworkers hypothesized that a favorable environment permits an
118 active process of cell-mediated calcification, usually followed by spontaneous phagocytic
119 resorption.^{6,30} They proposed that the evolution of the condition could be divided into three distinct
120 stages: pre-calcific, calcific, and post-calcific. In the pre-calcific stage, the site of predilection for
121 calcification undergoes to fibrocartilaginous transformation. The calcific stage which is subdivided
122 into three phases: formative, resting, and resorptive. In the post-calcific phase, the tendon heals with
123 fiber realignment and resolution of the calcium deposit. These patterns may occur concomitantly in
124 an individual patient. This hypothesis would explain the heterogeneity and pleomorphic nature of
125 the disease.

126 Despite reasonable attempts to clarify the pathogenesis of CT, this issue is still unresolved, being
127 based only on morphologic studies which are not able to clarify the “first step”. Attempts to
128 reproduce the peculiar tendon environment of calcific tendinopathy have employed macrophages
129 and synthetic apatite crystals and/or natural apatites.³⁵ This approach might help to understand how
130 calcified material can be reabsorbed, but it does not explain all the pathological processes involved
131 in the development of the lesion. Possibly, better attempts to develop animal models for this disease
132 would help this quest.

133 To make the picture more complicated, it is still unknown why CT is associated with diabetes and
134 thyroid disorders.^{36,37,38} More than 30% of patients with insulin-dependent diabetes have tendon
135 calcification, and they are more likely to develop asymptomatic deposits.³⁹ Recently, Harvie et al.
136 noted, in a retrospective cohort study of 102 consecutive patients with CT, a significantly higher
137 prevalence of thyroid and estrogen hormones metabolism alterations compared to a control
138 population.⁴⁰ Earlier onset of the symptoms, longer natural history and a higher proportion of
139 patients undergoing surgery were evident in patients with associated endocrine disorders,
140 suggesting a possible classification of the disease in idiopathic and endocrine-related forms.

141 **Basic Science**

142 The histopathological findings of CT have been extensively reported by Uthoff.⁶ The precalcific
143 stage is characterised by metaplasia of the tendinous tissue into fibrocartilage. Separated by
144 chondrocytes and fibrocartilaginous tissue septae, calcium crystals are deposited primarily in matrix
145 vesicles that coalesce to form large foci of calcification. The formative phase is characterized by
146 multifocal calcific deposits, separated by fibrocollagenous tissue or fibrocartilage. The latter
147 consists of easily distinguishable chondrocyte-like cells,⁴¹ within a matrix showing various degrees
148 of metachromasia. Inflammatory cells and vessels are notably absent. Archer again reported a lack
149 of collagen type II and alkaline phosphatase in the pathological regions, suggesting that the
150 calcification process is not mediated through an endochondral transition. In contrast, the
151 pathological areas showed widespread labelling for chondroitin-4-sulphate/dermatan sulphate and
152 intense pericellular localisation of chondroitin-6-sulphate. In this formative phase, the deposits
153 exhibit a chalk-like consistency.

154 The resting phase occurs when fibrocollagenous tissue borders the foci of calcification without
155 evidence of inflammation, thereby indicating termination of deposition. The beginning of the
156 resorptive phase is marked by the appearance of thin-walled vascular channels at the periphery of
157 the deposit. Young mesenchymal cells, epithelioid cells, leucocytes, lymphocytes, macrophages and

158 multinucleated giant cells then surround the deposits in an attempt to phagocytose them. In this
159 phase, the deposit appears a thick, creamy, toothpaste-like material that is often under pressure.
160 The last phase is the post-calcific stage. Granulation tissue with young fibroblast and newly formed
161 capillaries can be found around the calcification, in contrast with well-formed scars with vascular
162 channels and maturing fibroblast following the long axis of the tendon fibers. Type III collagen has
163 also been found using monoclonal antibodies, which confirmed the collagen neoformation.^{6,39}
164 Nakase et al clarified the nature of the multinucleated cells located near the calcium deposits. These
165 were positive for cathepsin K protein and mRNA, and had a typical osteoclastis phenotype.⁴² The
166 same research group one year later detected also osteopontin only in the cells adjacent to the
167 calcified area, identifying, morphologically, two distinct types of osteopontin positive cells, i.e.
168 mononuclear fibroblastic cells and round-shaped multinuclear cells.⁴³
169 What truly constitutes the calcific deposits is also still debated. The main components are water
170 (H_2O), carbonate (CO_3), and phosphate (PO_4), and basic calcium phosphate crystals. Hamada and
171 coworkers analyzed calcium deposits from patients with CT, and concluded that the deposits are
172 composed of carbonate apatite, and that hydroxyapatite, octacalcium phosphate, and tricalcium
173 phosphate were not present.⁴⁴ Rowe described calcific deposits as appearing in three different
174 forms.⁴⁵ The first is a dry, powdery deposit in the chronic quiescent form. The second form is a soft,
175 putty, or toothpaste deposit that may produce a mild chronic discomfort that is painful or aggravated
176 by impinging in abduction under the acromion. The third is a milky or creamy collection that is
177 usually under pressure. This corresponds to the acute, painful phase when the deposit is surrounded
178 by inflammation and an acute synovitis or bursitis. Gartner et al.²⁵ observed that the macroscopic
179 differences of calcific deposits was not reflected in the mineralogical structure, and neither chemical
180 compositional change nor a change in the crystal lattice was observed. They stated that no chemical
181 dissolution process of the inorganic material was responsible for the resorption activity in the acute
182 phase.

183 Few studies have been published on the proteins involved in the various phases of CT. We recently
184 proposed a possible role for the Bone Morphogenetic Proteins (BMPs) and Transglutaminases
185 (TGs). BMPs regulate calcification processes in bone, but their role in tendon repair has not been
186 completely clarified yet.⁴⁸ TGs are a family of enzymes which are able to crosslink many
187 extracellular proteins via a glutamine residue to a lysine residue of another protein chain in a
188 reaction termed transamidation. They are expressed in almost all mammalian tissues, and their
189 importance has been highlighted in wound healing and tissue repair, inflammation and apoptosis.⁴⁹
190 Although various proteins could be involved in the different phases, available data have mainly
191 been obtained on the expression of specific genes.^{50,51} Sengar et al. found an increased frequency of
192 HLA-A1 in patients with calcific tendinopathy, indicating that they may be genetically susceptible
193 to the condition.⁵² Gene expression studies could provide some further insights in calcific
194 tendinopathy, but few data are currently available on this disorder.^{53,54}

195 **Therapy**

196 *Non operative management*

197 Non-operative treatment is usually successful in up to 90% of patients.⁵⁵ NSAIDs are widely
198 prescribed to control pain, often before radiographic diagnosis of CT. Cimetidine in case series
199 study has been used in chronic calcifying tendinitis of the shoulder, even though the mechanism by
200 which cimetidine improves the symptoms is unknown.⁵⁶ Corticosteroid injections may have
201 beneficial effects, but, although they are commonly used in the treatment of CT, there is no
202 evidence that they promote resorption of the calcium deposits.^{57,58} Physical therapy, with pendular
203 movement and gentle exercises plus mobilizations of the shoulder if adhesive capsulitis is
204 associated, should help to restore range of motion.³⁹ Hyperthermia has been report to be a safe
205 option in the management of CT of the shoulder.⁵⁹

206 The efficacy of therapeutic ultrasound in the treatment of CT is uncertain. The Cochrane
207 Musculoskeletal Database Review of 26 trials found that both ultrasound and pulsed
208 electromagnetic field therapy resulted in significant improvement in pain, compared to placebo.⁶⁰

209 However, a further meta-analysis of 35 randomised controlled trials found that only 2 studies
210 supported the use of therapeutic ultrasound over placebo.⁶¹

211 Among the non-operative modalities, extracorporeal shock wave therapy (ESWT) has been
212 confirmed the treatment of choice in terms of reduction of pain, improvement in functional scores,
213 patient satisfaction without adverse effects. A recent systematic review confirmed that ESWT
214 seems to be an effective therapy for the treatment of CT of the shoulder.⁶² In Table II, single and
215 double blind randomised studies are reported.^{63,64,65,66,67,68,69,70,71} Local complications after ESWT
216 for CT as pain, reddening of the skin, haematoma, soft tissue swelling, transient bone edema and
217 nerve lesion have been described. They can occur from 7% to 19% of patients, and they are clearly
218 dose-dependent.⁶² Two cases of osteonecrosis of the humeral head have also been reported.^{72,73}

219 *Operative management*

220 Approximately 10% of patients are resistant to conservative management, and surgical removal of
221 the calcium deposit is necessary.⁵⁵ Surgery is indicated in patients with severe disabling symptoms
222 which have persisted for more than 6 months,^{74,75} but there is no consensus regarding the optimal
223 operative treatment. The key to successful management is to understand the natural history of the
224 condition, thereby devising the optimum treatment based on the pathology.⁵⁵

225 Needle lavage has been described as minimally-invasive treatment in patients with an acutely
226 painful shoulder during the resorptive phase, should help decompressing the tendon.^{76,77,78}

227 Treatment with modified ultrasound-guided fine needle technique is effective with a significant
228 clinical response and greater precision.⁷⁹ Using ultrasound-guided needle puncture, Farin et al found

229 favourable results in more than 70% of patients.⁸⁰ Galletti found a reduction symptoms in nearly

230 90% of patients.⁸¹ Recently, Cacchio et al reported good clinical results and disappearance of
231 calcifications after 4 weeks using disodium EDTA, without adverse effects.⁸² EDTA is widely used

232 to sequester divalent and trivalent metal and mineral ions, currently used in chelation therapy.

233 Arthroscopic treatment of CT of the RCT has been described with successful results^{5,83} (Fig. 2, 3),
234 with outcomes equivalent to those of open procedures.³⁹ The importance of removing all of the

235 calcium deposit is largely debate in literature. Many authors stated that successful outcome
236 appeared to be strongly related only to the absence of calcium deposits in the RCT. Jerosch et al
237 found that functional outcome was inversely related to the amount of calcification remaining.⁸⁴ Hurt
238 and Baker,³⁹ Porcellini et al ⁷⁵ and Rizzello et al ⁸³ found better results when complete removal of
239 the calcifications was achieved. Other authors suggest that complete eradication of the calcium
240 deposits are not necessary because the cell-mediated resorption can be initiated by the surgical
241 incision of the affected tendon.^{5,85}

242 Suture of the residual tendon lesions after removal of the deposits is not routinely performed as the
243 natural process of the disease is self-healing of the tendon^{39,85} if complete excision of the deposits is
244 performed. No cuff tears were shown at ultrasound examination after the complete removal of
245 calcific deposits at five years follow-up.⁷⁵ However, in another study 7% of patients treated
246 surgically still reported pain, and 25% of these patients showed a RC defect at US.⁸⁶ For these
247 reasons, some surgeons recommend a primary side to side repair of RCT if the tear resultant defect
248 following excision is large (fig. 2 and fig. 4).^{39,76} Suture of the RCT allows the patients to begin
249 early rehabilitation. Acromioplasty is recommended only in patients with a type III acromion.⁸⁷

250 **Conclusions**

251 Advances in the management of CT have been made more than in the knowledge about its
252 etiopathogenesis. The process consists of a multifocal, cell-mediated calcification of a living tendon
253 that is usually followed by spontaneous phagocytic resorption. After spontaneous, physiotherapeutic
254 or surgical resolution of symptoms, the tendon usually returns to its normal structure. ESWT is
255 highly effective in the chronic condition. A high prevalence of both autoimmune and hormone-
256 related diseases have been reported in patients with CT. Many questions remain to be answered,
257 including why the calcium precipitates within the tendon, what is the nature of the mechanism
258 leading to calcium salt deposition, whether this mechanism is chemical or biological, where does
259 the pain come from, and what induces the multinucleated cells to start the resorptive phase. Further

260 investigation will be necessary to clarify this process, and identify possible future therapeutic
261 targets.

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432 **Tables**

433 **Table I**

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A De Palma and Kruper classification		C Gartner and Simons classification	
Type I	Fully, fleecy with ill-defined periphery	Type I	Sharply outlined and densely structured calcifications
Type II	Homogeneous with clearly defined periphery	Type II	Sharply outlined and inhomogeneous calcifications or homogenous with no defined border
		Type III	Cloudy and transparent calcifications
B French Arthroscopic Society classification		D Patte and Goutallier classification	
Type A	Homogeneous calcification with well-defined limits	Type I	Sharp and dense
Type B	Heterogeneous and fragmented calcification with well-defined limits	Type II	Blunt and dense
Type C	Heterogeneous calcification with poorly defined limits and sometimes with a punctate appearance	Type III	Sharp and translucent
Type D	Dystrophic calcification of the tendon insertion	Type IV	Blunt and translucent

445 **Table I: Radiographic classifications: A- De Palma and Kruper classification; B- French**
 446 **Arthroscopic Society classification; C- Gartner and Simons classification; D- Patte and Goutallier**
 447 **classification**

448 **Table II**

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Authors	Year	Patients	Follow-up
Daecke W et al. ⁶¹	2002	115	4 years
Gerdesmeyer L et al. ⁶²	2003	144	12 months
Cosentino R et al. ⁶³	2003	70	15 months
Pan PJ et al. ⁶⁴	2003	60	12 weeks
Pleiner J et al. ⁶⁵	2004	43	7 months
Peters J et al. ⁶⁶	2004	90	6 months
Albert JD et al. ⁶⁷	2007	80	16 weeks
Hsu CJ et al. ⁶⁸	2008	46	1 year
Hearnden A et al. ⁶⁹	2009	20	6 months

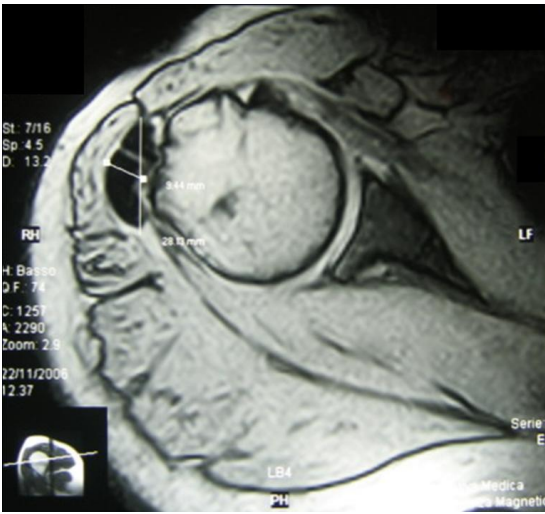
462 Table II: Single and double blind randomised studies on ESWT for the treatment of CT actually
463 reported in the literature.

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466 **Figures Legends**

467 Figure 1



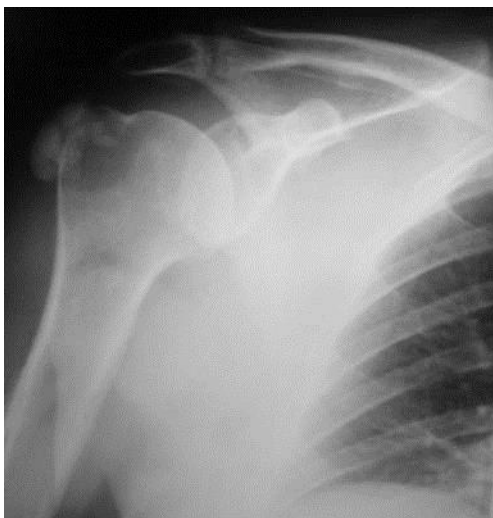
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469 Figure 1: MRI in T1 of calcific tendinopathy of supraspinatus tendon > 2 cm.

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472 Figure 2.



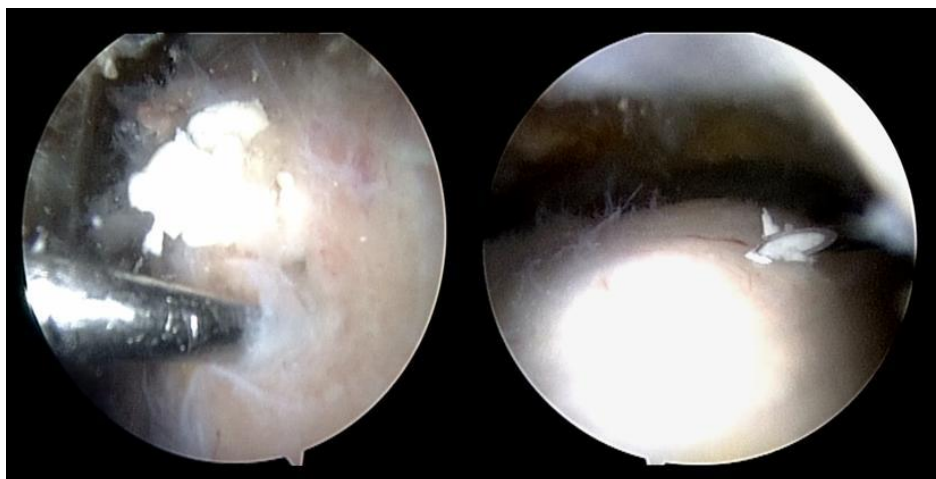
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474 Figure 2: Preoperative radiograph of calcific tendinopathy of the supraspinatus tendon > 2 cm.

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477 Figure 3



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479 Figure 3: Arthroscopic treatment of calcific tendinopathy of the supraspinatus tendon > 2 cm:

480 intraoperative imaging.

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482 Figure 4



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484 Figure 4: Post-operative radiograph of a calcific tendinopathy of the supraspinatus tendon > 2 cm,
485 sutured with a metallic anchor.

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