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Abstract

Lateral ankle sprains are common sport-related injuries that may result in chronic pain and instability. The optimal management of chronic lateral ankle instability is still controversial. While approximately half of low-demand patients may benefit from a structured rehabilitation program, this is not acceptable for active people. Many surgical techniques have been described in the literature to restore ankle stability and function. The Broström technique aims to restore the normal lateral ankle ligaments complex anatomy and ankle motion. Intra-articular lesions such as loose bodies, synovitis, and osteochondral lesions are associated with chronic ankle instability and should be assessed and managed at the time of ligament stabilization because they may affect surgical long-term outcomes. Combined open and arthroscopic procedures could improve the diagnosis and management of intra-articular lesions and allow the surgeon to perform minimally invasive anatomic reconstruction of the lateral ligament complex.

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# 1 **Arthroscopic-Assisted Broström-Gould Repair**

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## 7 **Abstract**

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9 instability. The optimal management of chronic lateral ankle instability is still controversial.  
10 While approximately half of low-demand patients may benefit from a structured rehabilitation  
11 program, this is not acceptable for active people. Many surgical techniques have been described  
12 in the literature to restore ankle stability and function. The Broström technique aims to restore the  
13 normal lateral ankle ligaments complex anatomy and ankle motion. Intra-articular lesions such as  
14 loose bodies, synovitis, and osteochondral lesions are associated with chronic ankle instability and  
15 should be assessed and managed at the time of ligament stabilization because they may affect  
16 surgical long-term outcomes. Combined open and arthroscopic procedures could improve the  
17 diagnosis and management of intra-articular lesions and allow the surgeon to perform minimally  
18 invasive anatomic reconstruction of the lateral ligament complex.

## **Introduction**

19 Lateral ankle sprain is a common sport-related injury, and it accounts for up to 25 % of all  
20 musculoskeletal injuries. In the United Kingdom, 302,000 new ankle sprains are estimated to  
21 occur each year, with an incidence of 52.7 per 10,000 emergency department visits (Ferran and  
22 Maffulli 2006). Sprains involving the lateral ligament complex are more common than isolated  
23 medial ligament injury, accounting for 85 % of ankle lesions (Krips et al. 2006). Although lateral  
24 ankle sprains are commonly treated by most orthopedic surgeons in everyday practice with a high  
25 rate of success, they may result in pain and disability in the short term, decreased sport activity and  
26 early retirement from sports in the midterm (Ajis et al. 2006), and secondary injuries and develop-  
27 ment of early osteoarthritis in the long term (Ferran et al. 2009).

28 The ankle is stabilized by its bony configuration, the deltoid ligament medially, and the lateral  
29 ankle ligament complex. The deltoid ligament extends from the medial malleolus to the medial  
30 aspect of the talus. It is comprised of a strong deep layer which contributes most to stability, and  
31 a superficial fan-shaped layer (McMinn 1994). The lateral ligamentous complex consists of the  
32 anterior talofibular ligament (ATFL), the calcaneofibular ligament (CFL), and the posterior  
33 talofibular ligament (PTFL). The lateral talocalcaneal ligament (LTCL) may be present or not, and  
34 if present, it limits subtalar motion (Kumai et al. 2002). The ATFL is the primary restraint to  
35 inversion of the ankle throughout its arc of motion (Colville et al. 1990). Strain to the ATFL  
36 increases progressively as the ankle moves into plantar flexion and inversion. The CFL stabilizes

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37 both the ankle and the subtalar joints. Strain to the CFL is greater when the ankle is inverted and  
38 dorsiflexed. The most common mechanism of lateral ankle sprain is excessive inversion and internal  
39 rotation of the hindfoot, while the leg is in external rotation. The ATFL is the weakest of the three  
40 lateral ligaments, and it is most frequently injured in ankle sprains, while the CFL is involved in  
41 50–75 % of such injuries, and the PTFL is rarely injured (less than 10 % of cases) unless complete  
42 dislocation occurs (Ferran et al. 2009). Patients with acute lateral ankle sprains usually respond to  
43 nonoperative measures, including physical therapy and functional rehabilitation. A level 1 evidence  
44 study showed that functional rehabilitation, in patients engaged in regular sports activity  
45 (Ardèvol et al. 2002), allowed earlier resumption of sports training, with fewer symptoms as  
46 compared with cast immobilization; however, about 15–20 % of patients with acute ligament  
47 ruptures will develop chronic instability (Krips et al. 2006).

## 48 **Chronic Lateral Ankle Instability**

49 To clearly define chronic lateral ankle instability, it is important to clarify the terms “laxity” and  
50 “instability,” which are often used as synonymous. Two types of ankle instability are described,  
51 functional and mechanical (Ferran et al. 2009). Mechanical instability is abnormal laxity of the  
52 ligamentous restraints, and it is a physical sign demonstrated on clinical examination. Functional  
53 instability is a symptom, and it refers to abnormal function, with recurrent episodes of the ankle  
54 giving way. The two types of instability can exist independently of one another, but often they occur  
55 together. Indeed, a patient with minimal mechanical instability (i.e., minimal laxity) can report  
56 giving way, that is, functional instability. Therefore, the terms “laxity” and “instability” should not  
57 be used as synonymous. Chronic ankle instability refers to repetitive episodes of instability resulting  
58 in recurrent ankle sprains (Hertel 2002). Persistent pain, recurrent sprains, and repeated instances of  
59 the ankle giving way are the hallmarks of chronic ankle instability.

60 The optimal management of chronic lateral ankle instability is still controversial. Low-demand  
61 patients may benefit from a structured rehabilitation program and external splinting in 50 % of cases.  
62 Patients with functional instability are more likely to benefit from rehabilitation than patients with  
63 mechanical instability (Ajis and Maffulli 2006). However, this treatment is not acceptable for most  
64 active people, especially high-level athletes. The primary indication for surgery is failure of  
65 nonsurgical management. Surgery aims to reestablish ankle stability and function, without  
66 compromising ankle motion. Many surgical techniques have been described with variable success,  
67 a testament to the complexity of this condition. These techniques and their modifications fall into  
68 three categories: non-anatomic tenodesis reconstruction, anatomic repair, and anatomic reconstruc-  
69 tion. Non-anatomic reconstructions using local tendons have been proposed, but some concerns  
70 arise from their invasiveness, related risks of neurovascular injuries, postoperative subtalar and  
71 tibiotalar joint stiffness, and long-term degenerative joint disease in the ankle and subtalar joint  
72 (Caprio et al. 2006). The goal of anatomic repairs is to restore normal anatomy and joint mechanics  
73 and to maintain physiological ankle and subtalar motion (Maffulli et al. 2013).

74 The Broström technique forms the basis for other anatomic repair techniques (Broström 1966;  
75 **QI** Schmidt et al. 2004). Broström found that all patients with recurrent inversion instability had a tear  
76 of the ATFL and that an injury to the CFL was also present in about 30 % of cases (Broström 1966).  
77 More recently, a higher rate of combined injuries to the ATFL and the CFL has been demonstrated  
78 (Sugimoto et al. 2002; Ferran et al. 2009). The original technique involved midsubstance imbrica-  
79 tion and suture of the ruptured ligament ends of ATFL and CFL. Bell et al. reported good or excellent  
80 functional results in 91 % of patients at 26-year follow-up (Bell et al. 2006). End-to-end repair of the

81 ruptured ligaments is possible even several years after the initial injury; however, such repair is  
82 dependent on the condition of the injured ligaments, which may be attenuated. In these cases,  
83 anatomic reconstruction has been recently developed to allow tendon grafts to anatomically recreate  
84 joint biomechanics. The anatomic repair originally described by Broström was later modified by  
85 Gould who strengthened the repair by augmentation with the mobilized lateral portion of the  
86 extensor retinaculum, which was attached to the fibula after imbrication of the ATFL and the CFL  
87 (Gould et al. 1980).

88 The Broström-Gould technique is often considered the standard because it is minimally disruptive  
89 of local anatomy, the augmentation with the extensor retinaculum should provide additional support  
90 against inversion, and it reduces pathological ankle joint laxity, with less motion restriction  
91 compared to more invasive reconstructions (Bahr et al. 1997). In a randomized controlled trial,  
92 the modified Broström procedure produced better outcomes and fewer complications at 2.5-year  
93 follow-up than the more complex Chrisman-Snook procedure (Henrikus et al. 1996). Recent  
94 studies showed that anatomic repair of the ATFL provides similar biomechanical stability compared  
95 with the combined repair of the ATFL and CFL (Okuda et al. 1999; Lee et al. 2008, 2011).  
96 A biomechanical cadaver study, however, demonstrated that isolated ATFL repair augmented with  
97 retinaculum was as effective as repairing both ATFL and CFL with retinacular augmentation (Lee  
98 et al. 2008). Furthermore, the original work by Broström showed that in most patients, excellent  
99 results could be obtained with repair of only the ATFL (Broström 1966). Recent clinical and  
100 biomechanical investigation confirmed the impression that it is not necessary, in primary procedures,  
101 to repair the CFL as well (Lee et al. 2011).

## 102 **Associated Lesions**

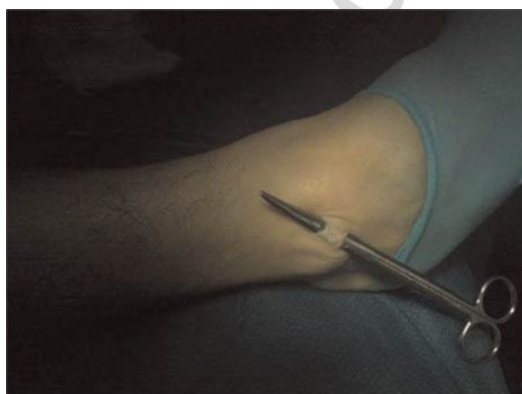
103 Several intra-articular injuries are associated with chronic ankle instability, including osteochondral  
104 lesions of the talus, impingement, loose bodies, painful ossicles, adhesions, chondromalacia, and  
105 osteophytes. These conditions may produce ankle pain, and they may affect surgical long-term  
106 results. In fact, 13–35 % of patients complain of persistent postoperative pain, probably related to  
107 unidentified and/or improperly managed intra-articular lesions (Ajis et al. 2006). Komenda and  
108 Ferkel found that 93 % of patients had intra-articular lesions requiring intervention during arthro-  
109 scopic assessment of the ankle at the time of ligament repair (Komenda and Ferkel 1999).

110 Osteochondral lesions of the talus are considered the strongest indicators for poor clinical  
111 outcomes (Hintermann et al. 2010). Although several studies have reported patients with chronic  
112 lateral ankle instability and concomitant chondral lesions (van Dijk et al. 1996; Okuda et al. 1999;  
113 Hintermann et al. 2002), the real influence of these injuries on the long-term results has not been well  
114 established. Gregush and Ferkel (2010) reported that concomitant arthroscopic management of  
115 osteochondral lesions and open lateral ankle stabilization is safe and effective, but osteochondral  
116 lesions may impair the overall results in the long term. Nery et al. (2011) recently reported that  
117 patients with cartilage lesions managed with microfracture showed no significantly different  
118 AOFAS scores compared with patients with no chondral disease. On the other hand, other authors  
119 did not find any correlation between focal chondral lesions and postoperative pain (Okuda  
120 et al. 1999). Cannon and Hackney (2000) reported good results after removal of bony spurs in  
121 patients with chronic ankle instability. Other intra-articular conditions such as synovitis, hypertro-  
122 phic synovial thickening, and soft-tissue impingement may also cause persistent postoperative pain  
123 (Maffulli et al. 2013). Hence, many authors recommend ankle arthroscopy at the time of surgical  
124 repair.

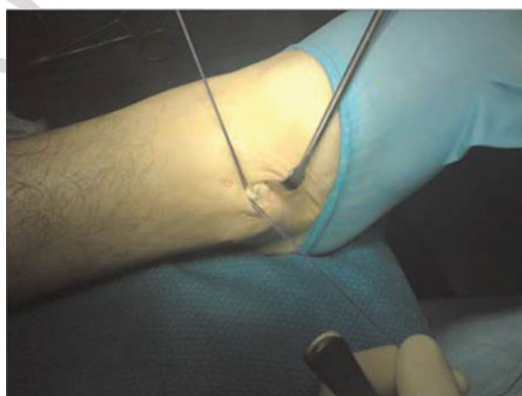
125 For the reasons outlined above, combined arthroscopic ankle evaluation and open isolated ATFL  
126 repair for chronic lateral ankle instability is described.

## 127 **Surgical Technique**

128 The patient is positioned supine without any distraction devices. After exsanguination, a thigh  
129 tourniquet is inflated to 300 mmHg. A standard knee arthroscope and two standard anteromedial and  
130 anterolateral portals are used, switching to a 2.7 mm 30° angled arthroscope if needed. All structures  
131 are palpated with a probe to assess osteochondral and bony features and the presence of soft-tissue  
132 impingement. Bony and soft-tissue debridements are performed. Osteochondral lesions are treated  
133 as appropriate. After the arthroscopy, a slightly curvilinear 2–2.5 cm incision anterior to the anterior  
134 border of the lateral malleolus is made, 2 cm distal to the tip of the fibula, to avoid injury to the  
135 medial dorsal cutaneous nerve and/or the intermediate dorsal cutaneous nerve. After removal of all  
136 the adhesions to the surrounding soft tissues, the ATFL is exposed (Fig. 1). The ligament is sutured  
137 with strong absorbable sutures in a vest-over-pants fashion (Fig. 2), without using transosseous  
138 tunnels or suture anchor systems. Under anesthesia, the tension of the construct and the clinical  
139 stability are tested with the ankle in slight eversion, flexed at 90°. After thorough irrigation with  
140 normal saline, the skin incisions are sutured with 3.0 Biosyn (Tyco Healthcare, Norwalk, CT) and



**Fig. 1** After removal of all the adhesions to the surrounding soft tissues, the anterior talofibular ligament is exposed



**Fig. 2** Repair with strong absorbable sutures in a vest-over-pant fashion



141 Steri-strips (3 M Health Care, St Paul, MN) are applied. A below-knee weight-bearing synthetic cast  
142 is applied with the foot in neutral, and weight bearing is allowed as able for the first two  
143 postoperative weeks. At 4 weeks, the cast is removed and physical therapies, including propriocep-  
144 tive training, active ankle extension, and eversion exercises, are started, with the ankle in a semirigid  
145 brace. Stationary cycling is allowed from the sixth postoperative week. Running and swimming are  
146 introduced by 3 months, and return to high-impact sports is allowed at 6 months.

## 147 **Discussion**

148 Sprains involving the lateral ligament complex of the ankle are common injuries in athletes: they  
149 may result in long-term disability. Many surgical techniques have been described to manage chronic  
150 lateral ankle instability, but there is a lack of level 1 evidence studies. At present, the Broström-  
151 Gould repair is increasingly being considered the procedure of choice when the quality of the  
152 affected ligaments permits (Li et al. 2009).

153 Since intra-articular injuries are considered indicators for poor clinical outcomes, arthroscopic  
154 assessment of the joint followed by a Broström repair should be considered. Hamilton et al. (1993)  
155 recommended full assessment of associated painful lesions before the modified Broström repair.  
156 Corte-Real and Moreira (2009) performed a similar procedure in 28 patients, with an average  
157 AOFAS score of 85.3/100 at a mean follow-up of 24.5 months. Long-term outcomes of 38 patients  
158 treated with ankle arthroscopy and anatomical repair of the ATFL have been recently published  
159 (Maffulli et al. 2013). The authors found that isolated repair of the ATFL was easy, fast to perform,  
160 inexpensive, and provided a high rate of good-excellent outcomes in the long term, allowing the  
161 majority of patients to remain active at the pre-injury level of sport activity at 9 years from surgery.  
162 However, 16 % of patients developed mechanical and functional instability after surgery.

163 Arthroscopic management of lateral ankle instability is an emerging modality that may have  
164 benefits of faster rehabilitation and less soft-tissue damage; however, it remains technically demand-  
165 ing and few long-term results are available. Hawkins first described arthroscopic stapling of the  
166 ATFL with good short-term results (Hawkins 1987). Lui described an arthroscopic-assisted, autog-  
167 enous tendon reconstruction for lateral ankle instability using a three-portal approach (Lui 2007).  
168 Recently Nery et al. (2011) reported excellent or good results at 9.8 years follow-up after arthro-  
169 scopic anatomic repair of the lateral ligament complex using an arthroscopic-assisted Broström-  
170 Gould procedure and a three-portal approach. Compared with the standard Broström-Gould tech-  
171 nique, the arthroscopic-assisted procedure provides similar outcomes, avoids long incisions, and  
172 preserves the dynamic stabilizer of this joint. Fully arthroscopic management of CFL ruptures is  
173 difficult to perform and often requires an open approach. Biomechanical cadaver studies showed that  
174 isolated ATFL repair augmented with retinaculum was as effective as repairing both ATFL and CFL,  
175 questioning the role of open CFL repair from a biomechanical point of view (Lee et al. 2008).  
176 However, the repair methods tested were open, and biomechanical testing of arthroscopic techniques  
177 remains an area for future research.

178 Arthroscopic and arthroscopically assisted plication and anchor fixation techniques have been  
179 increasingly used, attaching the ATFL to the talus and/or fibula. Suture anchors may successfully  
180 reattach the lateral ligament tissue to an anatomically normal position, but they may disadvanta-  
181 geously result in malpositioning, breakage, pullout, and additional costs (Giza et al. 2012).  
182 Repairing the ATFL using simple stitches, without using transosseous tunnels or suture anchor  
183 systems, may be a good compromise. It allows anatomical repair with minimal dissection and  
184 irritation of soft tissues and without bone exposure. Furthermore, the simplicity and minimal

185 invasiveness of this procedure decrease operating time and the risk of potential fractures secondary  
186 to multiple drill holes.

## 187 **Conclusion**

188 Anatomical repairs of lateral ankle ligaments may improve the long-term results. They restore  
189 normal ankle and subtalar motion and rotational stability and ensure high mechanical restraints.  
190 Intra-articular lesions such as loose bodies, synovitis, and osteochondral lesions should be assessed  
191 and managed at the time of ligament stabilization. Combined open and arthroscopic procedures  
192 could improve the diagnosis and management of intra-articular lesions and allow the surgeon to  
193 perform minimally invasive anatomic reconstruction of the lateral ligament complex.

## 194 **Cross-References**

- 195 ▶ [Arthroscopic Assisted Bostrom-Gould Repair](#)
- 196 ▶ [Arthroscopy of the Ankle: New Approaches](#)
- 197 ▶ [Chronic Ankle Instability](#)
- 198 ▶ [Functional Anatomy of the Ankle](#)
- 199 ▶ [Ligamentous Injuries of the Ankle: Sprained Ankle](#)
- 200 ▶ [Natural Course of the Ankle Injury: Based on Volleyball Experience](#)

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Uncorrected Proof

## **Index Terms:**

Ankle arthroscopy 3–5

Ankle sprain 1–2

Chronic lateral ankle instability 2–3

Uncorrected Proof

## Author Queries

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Q1	Reference citations have been changed as per reference list. Please check if okay.
Q2	Please provide details of Hintermann et al. (2010) in the reference list.
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